

# Energy Balance of Structural System with Load Sliding

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*Abstract:* Although the seismic response reduction effect with load sliding (slide effect) is not considered in general structural design, consideration of this effect may contribute to a rational structural design. In the present study, in order to obtain the basic characteristics of the slide effect for an elastoplastic frame, shaking table tests were carried out on a single-story elastoplastic steel frame while varying certain parameters. An analytical model considering the slide effect was constructed, and seismic response analyses of the parameters were also conducted in order to obtain the energy balance in the system.

Index terms- Load-sliding effect, Elastoplastic steel frame, Shaking table test, Seismic response analysis, Friction energy..

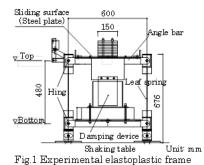
### INTRODUCTION

The seismic response reduction effect of the acceleration of loads and the displacement of structures with load sliding on the structures (hereinafter referred to as the slide effect) at the time of earthquake occurrence has been confirmed in previous studies [1]–[7]. In the event of a major earthquake, a load will slide when the inertial force of the load exceeds the friction force, and some seismic energy of the structure is dissipated by friction. Thus, the seismic response displacement of structures could be reduced by load sliding. Although the slide effect is not considered in general structural design, consideration of this effect may reduce thelive load for a rational structural design, as compared with a design that assumes fixed loads [8]. However, the experimental frame models used in previous studies [1]-[7] were elastic, and the characteristics of the slide effect for an elastoplastic frame remain unclear. Therefore, it is important to quantitatively obtain the slide effect for an elastoplastic frame. Moreover, consideration of the energy balance due to load sliding in the elastoplastic frame is significant. In the present study, in order to obtain the basic characteristics of the slide effect for an elastoplastic frame, shaking table tests were carried out on a single-story elastoplastic steel frame while varying parameters such as the dynamic friction coefficient of the weight and the maximum velocity of the seismic motion. In addition, seismic response analyses were carried out for the analysis models assuming the restoring force characteristics of load sliding as a bilinear model. Based on the analytical results, the friction energy due to load sliding was obtained and was compared with the energy calculated based on experimental results.

#### II. SHAKING TABLE TESTS OF A SINGLE-STORY ELASTOPLASTIC FRAME

A. Outline of the Single-Story Elastoplastic Steel Frame The experimental frame is a single-story elastoplastic steel frame with a weight (representing a live load) placed atop of the

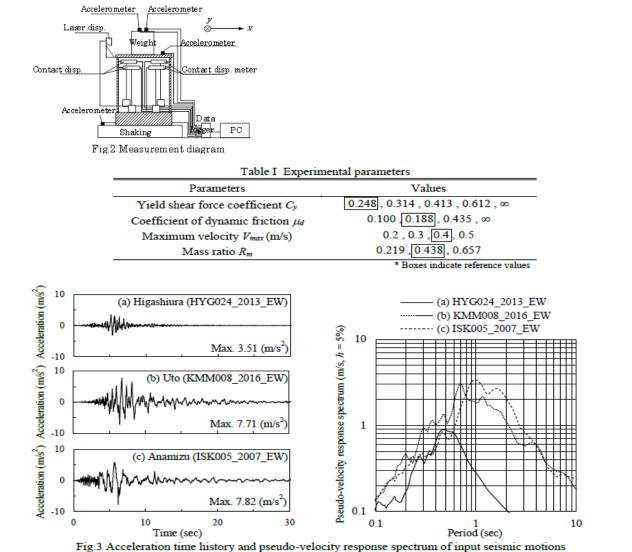
frame (Fig. 1). The frame consists of a steel plate supported by leaf springs and angle bars, both of which are fixed with bolts through hinges. The yield strength of the frame Qyf was changed by adjusting the tightening torque of the bolts at the hinges. The damping devices using a viscous fluid were installed in the story of the frame, and the damping ratio h1 became 4.04% as a result of adjusting the viscosity, assuming the damping of general buildings. The frame mass mf above the center of the columns, including the measurement equipment, is 47.5 kg, and the primary natural period of the frame without the damping devices and weight is 0.153 s (6.54 Hz).Fig. 2 shows the measurement diagram of the experiment. In order to measure the response acceleration of the frame and weight, accelerometers were installed at four positions: on the shaking table, on the steel plate of the frame, and on top of





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the weight for the x and y directions. Contact-type displacement meters were installed on each leaf spring to displacement meters were installed on each leaf spring to measure the story drift of the frame, and a laser displacement meter was installed on a bracket attached to the steel plate in order to measure the relative displacement (sliding displacement) between the frame and the weight. The maximum story drift of the frame in the following graphs was defined as the mean value of the maximum drifts obtained from the four leaf springs.

## **B.** Experimental Parameters

The four experimental parameters of interest are as follows: (1) the yield shear force coefficient of the frame  $C_y$ , (2) the dynamic friction coefficient of the weight  $\mu d$ , (3) the

maximum velocity of the input seismic motion  $V_{max}$ , and (4) the weight-to-frame mass ratio  $R_m$  (Table I).

The yield shear force coefficient  $C_y$  in the present study is the ratio of the yield strength of the frame  $Q_{yf}$  to the frame mass, including the mass of the weight. Then, by adjusting the tightening torque  $T_h$  of the frame,  $C_y$  became 0.248, 0.314, 0.413, and 0.612. However, the results for  $C_y = 0.248$  are presented in the following. In addition,  $T_h$  was adjusted to be sufficiently large ( $C_y = \infty$ ) for the purpose of comparison with the elastic response of the frame.

The dynamic friction coefficients at the interface of the weight and the steel plate of the frame  $\Box_d$  were calculated based on the horizontal forces obtained through a static sliding experiment involving the weight for various sliding materials adhered to the bottom of the weight. Based on the sliding experiment, three types of sliding materials were



selected: polytetrafluoroethylene ( $\mu d = 0.100$ ), ultra-highmolecular-weight polyethylene ( $\mu d = 0.188$ ), and natural rubber ( $\mu d = 0.435$ ).

The maximum velocity of the input seismic motion  $V_{max}$  was set based on the assumption of a range of from moderate earthquake motion ( $V_{max} = 0.2$  m/s) to extremely rarely occurring earthquake motion ( $V_{max} = 0.5$  m/s) in 0.1-m/s increments.

By adjusting the number of weights (1-ply = 2.08 kg) to 5-, 10-, and 15-ply, the weight-to-frame mass ratio  $R_m$  varied as 0.219, 0.438, and 0.657, respectively. The primary natural

## **III. EXPERIMENTAL RESULTS FOR THE PARAMETERS**

A. Maximum Response of the Frame and Weight for the

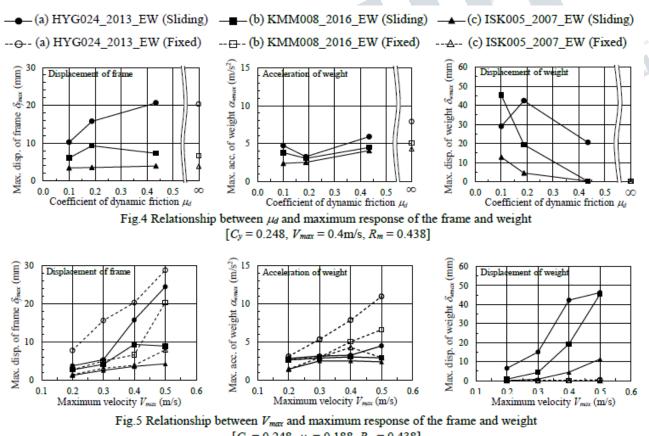
Dynamic Friction Coefficient

periods of the frame with fixed weights are 0.173 s (5.77 Hz), 0.184 s (5.44 Hz), and 0.200 s (5.00 Hz).

#### C. Selected Input Seismic Motions

The three seismic motions used in the present study, which have relatively larger maximum acceleration and different predominant periods, observed in Japan, were standardized according to maximum velocity (Fig. 3). These three seismic motions: (a) HYG024\_2013\_EW ((a) HYG), (b) KMM008\_ 2016 EW ((b) KMM), and (c) ISK005 2007 EW ((c) ISK), were observed in Higasiura, Hyogo (2013), Uto, Kumamoto (2016), and Anamizu, Ishikawa (2007), respectively.

Fig. 4 shows the response results of the frame and the weight for the dynamic friction coefficient  $\mu_d$  for each input seismic motion (experimental parameters:  $C_y = 0.248$ ,  $V_{max} = =$ 



 $[C_v = 0.248, \mu_d = 0.188, R_m = 0.438]$ 

0.4 m/s, and  $R_m = 0.438$ ). The graph on the left shows the maximum story drift of the frame  $\delta_{fmax}$ , and the middle graph shows the maximum response acceleration of the weight  $\alpha_{wmax}$ . Moreover, the right-hand graph shows the maximum

sliding displacement of the weight  $\alpha_{wmax}$ . The symbols in the fig. indicate the results for the input seismic motions: (a) HYG (circle), (b) KMM (square), and (c) ISK (triangle). The symbol  $\infty$  on the horizontal axis indicates the results for the case in which the weight is fixed.



Although there is a slight variation in the maximum story drift of the frame  $\delta_{fmax}$  (left-hand graph),  $\delta_{fmax}$  decreases as  $\mu d$  decreases. As shown in the left-hand graph,  $\alpha_{fmax}$  increases in the order of the input seismic motion, i.e., (c) ISK, (b) KMM, and (a) HYG, because  $\delta_{fmax}$  may increase by the seismic motion for which the predominant period is close to the primary natural period of the frame. The maximum response acceleration of the weight  $\alpha_{wmax}$  (middle graph) decreases with decreasing  $\mu d$  for all input seismic motions except for  $\mu d = 0.100$ . In particular, for the case of (a) HYG for  $\mu d = 0.188$ ,  $\alpha_{wmax}$  was reduced by approximately 59% compared to the 0.248,  $\mu d = 0.188$ , and  $R_m = 0.438$ ). The solid and dashed lines indicate the response results for the sliding weight (Sliding) and the fixed weight (Fixed), respectively.

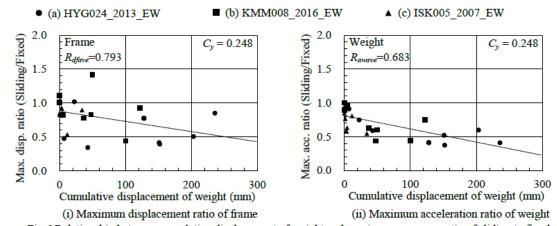
Although there is a slight variation in the maximum story drift of the frame  $\delta_{fmax}$  (left-hand graph),  $\delta_{fmax}$  increases as  $V_{max}$  increases. The slide effect can be observed in the lefthand graph because  $\delta_{fmax}$  of the sliding weight is lower than that of the fixed weight, except for the case of (b) KMM for  $V_{max} = 0.4$  m/s. The maximum response acceleration of the weight  $\alpha_{wmax}$  (middle graph) increases with increasing  $V_{max}$  in the Sliding case, and is essentially constant with increasing  $V_{max}$  in the Fixed case. This difference in  $\alpha_{wmax}$  between the Sliding and Fixed cases increases with increasing  $V_{max}$ , indicating that the slide effect is significant. The maximum sliding displacement of the weight  $\alpha_{wmax}$  (right-hand graph) case in which the weight was fixed. The maximum sliding displacement of the weight  $\alpha$  wmax (right-hand graph) increases with decreasing  $\mu_d$ , except for the case of (a) HYG for  $\mu_d = 0.100$ . Therefore,  $\delta_{fmax}$  and  $\alpha_{wmax}$  decrease with decreasing  $\mu_d$  and  $\alpha_{wmax}$  increases with decreasing  $\mu_d$ .

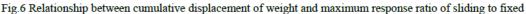
# **B.** Maximum Response of the Frame and Weight for the Maximum Velocity of the Seismic Motion

Fig. 5 shows the response results of the frame and the weight for the maximum velocity of the input seismic motion  $V_{max}$ for each input seismic motion (experimental parameters:  $C_y$  = shows that  $\alpha_{wmax}$  increases with increasing  $V_{max}$  for all input seismic motions. Thus, the slide effect increases with increasing  $\alpha_{wmax}$  for  $\mu_d$  and  $V_{max}$ . Therefore, the influence of  $\alpha_{wmax}$  is significant for the slide effect.

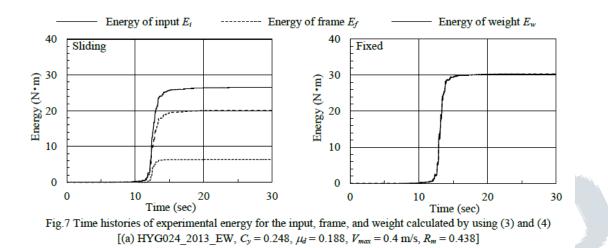
### C. Response Reduction Ratio of the Frame and Weight for Cumulative Sliding Displacement

In the previous section, the slide effect was determined to be related to the maximum sliding displacement of the weight. Therefore, the cumulative sliding displacement of the weight  $\delta_{wcum}$  was calculated from the time history waveform of the sliding displacement in order to obtain the relationship between the Sliding-to-Fixed displacement ratio of the frame  $R_{df}$  and  $\delta_{wcum}$  (Fig. 6(i)) and the relationship between the Sliding-to-Fixed acceleration ratio of the weight  $R_{aw}$  and  $\delta_{wcum}$  (Fig. 6 (ii)). The symbols in the fig. indicate the results









for the input seismic motions: (a) HYG (closed circle), (b) KMM (closed square), and (c) ISK (closed triangle). The slide effect can be confirmed if  $R_{df}$  and  $R_{aw}$  are less than 1.0. The dashed line in Fig. 6 is a regression line,  $R_{dfave}$  and  $R_{awave}$  are the mean values of  $R_{df}$  and  $R_{aw}$ , respectively. In some cases,  $R_{df}$  was more than 1.0, however, the slide effect was generally observed (i).  $R_{aw}$  was generally 1.0 or less, therefore, the slide effect was confirmed (ii). The regression lines for  $R_{df}$  and  $R_{aw}$  tend to decrease with increasing  $\delta$  wcum, and it is clear that the slide effect increases with increasing  $\delta$  wcum.

#### D. Vibration Absorption Energy of the Frame and Weight

The absorption energy due to the plastic deformation of the frame and the sliding of the weight is calculated in this section. The response shear forces of the frame  $Q_f$  and the weight  $Q_w$  were calculated from the product of these masses and the response acceleration of the frame and the weight:

$$Qf = -mf\ddot{x}f - mw\ddot{x}w \qquad (1)$$
$$Qw = -mw\ddot{x}w \qquad (2)$$

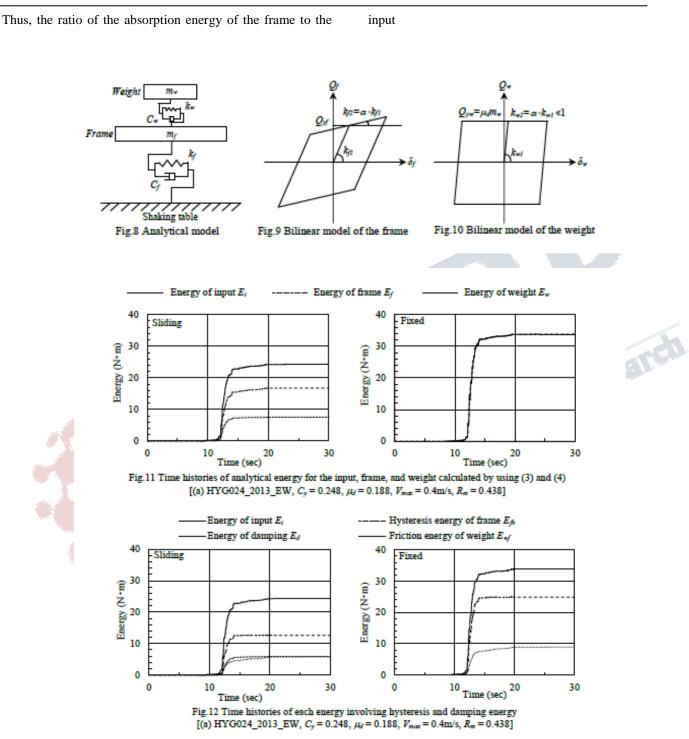
where  $m_f$  and  $m_w$  are the masses of the frame and the weight, respectively, and  $\ddot{x}_f$  and  $\ddot{x}_w$  are the absolute accelerations of the frame and the weight, respectively. The absorption energy of the frame  $E_f$  was calculated as the product of  $Q_f$  and the story drift, and the weight  $E_w$  was calculated as the product of  $Q_w$  and the sliding displacement, as follows: where  $x_f$  and  $x_w$  are the story drift of the frame and the sliding displacement of the weight, respectively. The input energy  $E_i$  is the sum of  $E_f$  and  $E_w$ .

Fig. 7 shows the time history waveforms of absorption energy  $E_f$  and  $E_w$  in the cases of a sliding weight (Sliding) and a fixed weight (Fixed), respectively (experimental parameters: (a) HYG,  $C_y = 0.248$ ,  $\Box d = 0.188$ ,  $V_{max} = 0.4$  m/s, and  $R_m = 0.438$ ). Since the sliding displacement in the Fixed case is approximately 0,  $E_w$  is also negligible. Therefore,  $E_i$ and  $E_f$  are equal. In contrast,  $E_d$  appears in the Sliding case because the weight slides on the steel plate of the frame. Thus, the ratio of the absorption energy of the frame to the input

$$E_f(t) = \int_0^t Q_f x_f dt \tag{3}$$
$$E_w(t) = \int_0^t Q_w x_w dt \tag{4}$$

where  $x_f$  and  $x_w$  are the story drift of the frame and the sliding displacement of the weight, respectively. The input energy  $E_i$  is the sum of  $E_f$  and  $E_w$ .

Fig. 7 shows the time history waveforms of absorption energy  $E_f$  and  $E_w$  in the cases of a sliding weight (Sliding) and a fixed weight (Fixed), respectively (experimental parameters: (a) HYG,  $C_y = 0.248$ ,  $\Box_d = 0.188$ ,  $V_{max} = 0.4$  m/s, and  $R_m = 0.438$ ). Since the sliding displacement in the Fixed case is approximately 0,  $E_w$  is also negligible. Therefore,  $E_i$ and  $E_f$  are equal. In contrast,  $E_d$  appears in the Sliding case because the weight slides on the steel plate of the frame.



energy was found to decrease due to the occurrence of Ew by the sliding of the weight, and the input energy decreases by the slide effect. The absorption energy includes the damping energy because the absorption energy was calculated based on the shear force obtained from the acceleration of the experimental results. Therefore, analytical study is necessary



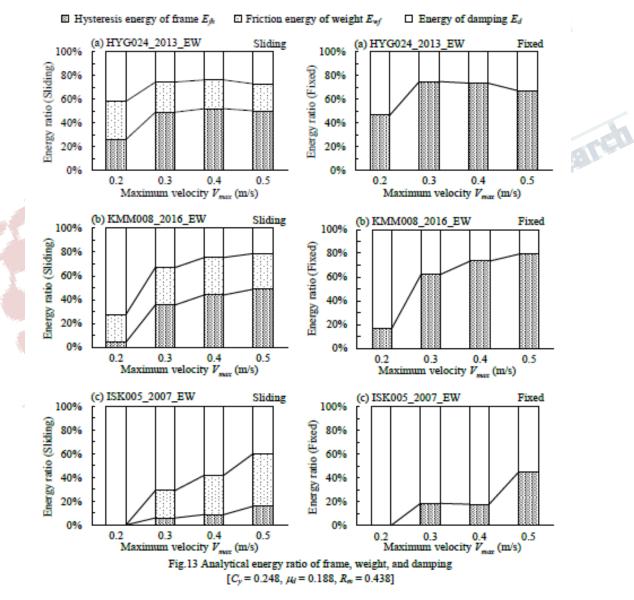
in order to obtain the hysteresis energy (friction energy) by only the friction force of the weight.

input energy, the hysteresis energy of the frame, and the friction energy of the weight were calculated analytically for the purpose of comparison with the energy obtained from the experimental results. The damping energy was also estimated

## IV. SEISMIC RESPONSE ANALYSIS CONSIDERING THE SLIDE EFFECT

## A. Analytical Model

It is clear that the slide effect is considerably related to the friction energy due to the sliding weight. In this section, the





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in order to discuss the ratio of each energy to the input energy after confirming the validity of the analytical model based on the experimental results.

The analytical model consists of an elastoplastic two-degreeof-freedom system with the mass of the frame mf and the mass of the weight mw (Fig. 8). The restoring force characteristics for the frame and the weight sliding are bilinear models, as shown in Figs. 9 and 10, respectively. The initial stiffness kf1, the second stiffness kw2, and the yield shear force Qyf of the frame were obtained from static cyclic loading tests, which were conducted separately. The initial stiffness kf1, the second stiffness kw2, and the dynamic friction force Oyw of the weight were estimated by free vibration tests and sliding tests. The second stiffness of the weight kw2 during sliding is assumed to be extremely low, and the stiffness lowering rate was set to be  $1.0 \times 10-6$  (a sufficiently small value). The damping was assumed as an initial stiffness proportional damping for each part: hf = 4.04% for the frame by the free vibration tests, and hw =0.02% for the weight, according to actual conditions. The Newmark  $\beta$  method ( $\beta = 0.25$ ) was used to obtain the numerical solution, and SNAP Ver. 7 (KOZO SYSTEM) was used in the analyses.

#### B. Time History Waveform of Energy

The time history waveforms of each energy for the analytical results calculated by using (3) and (4), which are similar to the experimental results, are shown in Fig. 11 (analytical parameters: (a) HYG,  $C_y = 0.248$ ,  $\mu d = 0.188$ ,  $V_{max} = 0.4$  m/s, and  $R_m = 0.438$ ). The analytically obtained energy of the weight  $E_w$  is slightly larger than the experimental results shown in Fig. 7. However, the shapes of the waveform are similar. Although the analytical results, including a strongly nonlinear hysteretic behavior associated with load sliding, were not perfectly consistent with the experimental results, the tendencies of the energy ratios for  $E_i$ ,  $E_f$ , and  $E_w$  with the slide effect were simulated.

The energies ( $E_f$  and  $E_w$ ) calculated by using (3) and (4) include the damping energy, in addition to the hysteresis energy. Therefore,  $E_f$  and  $E_w$  were separated into the hysteresis energy of the frame  $E_{fh}$ , the friction energy  $E_{wf}$ , and the damping energy  $E_d$  using the analytical model. Fig. 12 shows the time history waveforms of  $E_{fh}$ ,  $E_{wf}$ , and  $E_d$  extracted from Fig. 11. The ratio of damping energy  $E_d$  to the input energy  $E_i$  is approximately 23.8% for the Sliding case and approximately 26.4% for the Fixed case. Fig. 12 (left-hand graph) indicates that the friction energy of the weight and damping energy are generally equal. In other words, the damping corresponding to the damping ratio  $h_I = 4.04\%$  of the frame was added by the slide effect, so that the total

damping ratio of the system estimated by a simple sum is  $h_1 \approx 8\%$ .

### C. Energy Ratios for Sliding and Fixed Weights

The response of the elastoplastic system is greatly influenced by the levels of the input seismic motions. In this section, the energy balance of the structural system to the maximum velocity of the input seismic motion V<sub>max</sub> is obtained. Fig. 13 shows the ratio of each energy at 30 s to Vmax for each seismic motion (analytical parameters:  $C_y = 0.248$ ,  $\Box_d = 0.188$ , and  $R_m = 0.438$ ). The ratio of the histolysis energy of the frame  $E_{fh}$  increases with increasing  $V_{max}$ , and the ratio of the damping energy  $E_d$  decreases with increasing  $V_{max}$ . Moreover, the ratio of the friction energy  $E_{wf}$  for the Sliding case with increasing  $V_{max}$  decreases in (a) HYG, is constant in (b) KMM, and increases in (c) ISK. Here,  $E_{wf}$  is strongly influenced by the phase characteristics of the seismic motions; however,  $E_{wf}$  fluctuates very strongly with the velocity of the seismic motions. Thus, the friction energy is constant regardless of Vmax. However, the friction energy is not generated when the weight is not sliding in the case of (c) ISK for  $V_{max} = 0.2$  m/s because the weight slides as a result of the seismic motions, which have a certain level of maximum velocity.

## V. CONCLUSIONS

Shaking table tests on a single-story elastoplastic steel frame for various parameters were carried out in order to obtain the basic characteristics of the slide effect for the elastoplastic frame. The story drift of the frame and the acceleration of the weights were reduced by the sliding of the weights. Seismic analyses were conducted in order to calculate and discuss the energy balance of the frame, the weight, and the damping. Although the results of the analysis were not in perfect agreement with the experimental results, the tendencies of the energy ratios for each energy were simulated. Considering the analytically obtained energy, the friction energy of the weight is influenced by the phase characteristic of the seismic motions. However, the friction energy was generated by the seismic motions, which have a certain level of maximum velocity, was constant. The friction energy generated by the sliding weight is not small for the input energy, and a certain damping effect of load sliding can be expected for structural design.

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