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A Survey of Challenges, Process steps, Applications and Datasets of Facial Expression Recognition

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Abstract— Facial Expression Recognition (FER) is an important task in computer vision that has many potential applications in fields such as psychology, medicine, security, and entertainment. However, FER is a challenging task due to the complex and dynamic nature of facial expressions, and the variability in lighting, pose, and other environmental factors. In this article, we provide an overview of the key challenges associated with FER, including data collection and preprocessing, feature extraction, classification, and real-world deployment. We also review some of the current approaches and techniques that have been developed to address these challenges, such as deep learning models, data augmentation, and transfer learning. The process of FER typically involves several steps, including face detection, face alignment, feature extraction, and classification. For each of these steps, we discuss some of the most widely used techniques and methods, such as Viola-Jones for face detection, Local Binary Patterns (LBP) and Gabor wavelets for feature extraction, and Convolutional Neural Networks (CNNs) and Recurrent Neural Networks (RNNs) for classification. In terms of applications, we describe some of the key areas where FER can be applied, including emotion analysis, human-computer interaction, and security and surveillance. We also discuss the current approaches and techniques that have been developed for FER in each of these domains, and highlight some of the key challenges and limitations associated with each area. Fnally, we review some of the most widely used FER datasets, including the Cohn-Kanade, MMI, and AffectNet datasets, and describe the key features and limitations of each of these datasets. We also discuss some of the current approaches and techniques that have been developed for data augmentation and transfer learning, which can help to improve the performance of FER models when training data is limited. The insights and recommendations presented in this article can help guide the development of more accurate and efficient FER systems that can be applied in a range of real-world scenarios.

Keywords: Facial Expressions recognition, Challenges, Applications, Data sets.

I. INTRODUCTION

Facial Expression Recognition (FER) is a challenging task in computer vision that has been the subject of extensive research in recent years. While significant progress has been made in developing FER systems, there are still several challenges that researchers face in this area. In this section, we discuss some of the main challenges in FER and the current research efforts to address them. One of the primary challenges in FER is the variability in facial expressions among individuals. Facial expressions can vary in terms of intensity, duration, and timing, making it difficult to develop FER systems that are robust to these variations. Additionally, facial expressions can be influenced by cultural, social, and contextual factors, which further add to the variability in expressions. To address this challenge, researchers have explored techniques such as data augmentation, feature selection, and deep learning methods that can improve the generalization and robustness of FER systems [1,2]. Another challenge in FER is the limited availability of large, diverse datasets for training and evaluating FER systems. Most publicly available datasets contain limited expression categories and samples, which can result in over fitting and reduced generalization performance. Several efforts have been made to develop larger and more diverse FER datasets,

such as the AffectNet, EmoReact, and FERPlus datasets [3,4,5]. These datasets have facilitated the development of more robust and accurate FER systems by providing a more extensive and diverse set of expressions and subjects for training and evaluation. In addition to these challenges, FER systems also need to be developed to work in real-world scenarios, which can involve challenging lighting conditions, occlusions, and variations in pose and head movement. To address these challenges, researchers have explored techniques such as facial landmark detection, 3D modeling, and ensemble learning methods that can improve the robustness of FER systems to these variations [6,7,8]. Overall, FER research is faced with several challenges that require the development of robust and effective methods to address the variability in facial expressions, the limited availability of diverse datasets, and the real-world scenarios that FER systems need to work in. While significant progress has been made in recent years, there is still much work to be done to develop FER systems that can perform reliably and accurately in a range of contexts. Data plays a crucial role in the development of Facial Expression Recognition (FER) systems. In recent years, several publicly available FER datasets have been introduced, which have facilitated the development of robust and accurate FER systems. In this section, we provide an overview of some of the widely used



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FER datasets and their characteristics. One of the earliest and most widely used FER datasets is the Cohn-Kanade (CK) dataset [7], which contains 486 image sequences of 97 subjects displaying different facial expressions. Another popular dataset is the Facial Expression Recognition and Analysis (FERA) [8], which contains spontaneous expressions in addition to posed expressions. The FERA dataset is unique in that it contains a rich set of annotations, including action units and valence-arousal scores. More recently, several large-scale FER datasets have been introduced, which have enabled the development of more robust and accurate FER systems. The AffectNet dataset [9] contains over one million images with 8 different expressions and continuous valence-arousal annotations. The Emotion Recognition in the Wild (EmoReact) dataset [10] contains videos of people performing naturalistic facial expressions in response to emotional stimuli. The FERPlus dataset [11] is another widely used FER dataset that contains a more extensive set of expressions and more robust annotations than the CK dataset. The FERPlus dataset includes seven expressions (neutral, happiness, surprise, sadness, anger, disgust, and fear) and provides additional information on the intensity of the expressions. The dataset has been shown to outperform the CK dataset in terms of accuracy and robustness. In addition to these datasets, there are several other FER datasets that have been introduced in recent years, including the Multi-PIE dataset [12], the Extended Cohn-Kanade (CK+) dataset [7], and the RAF-DB dataset [8]. These datasets contain a diverse range of expressions, subjects, and lighting conditions, which can facilitate the development of more robust and accurate FER systems. Overall, the availability of diverse and large-scale FER datasets has played a critical role in the development of FER systems, enabling researchers to develop more robust and accurate models that can perform reliably across a range of contexts. To develop and evaluate FER models and techniques, it is necessary to have access to large and diverse datasets. In recent years, there has been a significant increase in the number of publicly available FER datasets, including the Cohn-Kanade, MMI, and AffectNet datasets. These datasets have been widely used for benchmarking and evaluating FER models, and have helped to advance the state-of-the-art in this field. In this article, we provide an overview of the key challenges, process steps, applications, and datasets in FER research. We review some of the current approaches and techniques that have been developed for FER, and discuss the key challenges and limitations associated with each area. We also highlight some of the key directions for future research in FER, including the need for larger and more diverse datasets, as well as the development of more.

II. CHALLENGES IN FER

Facial Expression Recognition (FER) is an active area of research that has attracted significant attention in recent years due to its potential applications in various domains. While significant progress has been made in the development of FER systems, there remain several challenges that need to be addressed. In this article, we provide an overview of some of the key challenges in FER, including issues related to data quality, model complexity, and robustness. We also discuss some of the current approaches and techniques that have been developed to address these challenges. Finally, we highlight some of the key directions for future research in FER, including the need for larger and more diverse datasets, the development of more robust and interpretable models, and the integration of contextual information. The insights and recommendations presented in this article can help guide the development of more accurate, reliable, and robust FER systems that can operate effectively across a range of real-world contexts. Different types of challenges are Large dataset requirement, Variability in faces, Occlusions and pose variations, Adversarial attacks, Limited generalization ability to unseen faces, Variability in facial expressions, Limited training data, Pose and lighting variations, Cross-cultural variations, Variability in facial expressions, Robustness to adversarial attacks, Real-time processing requirements.

A. Large dataset requirement

The requirement for large datasets is a challenge in FER (Facial Expression Recognition) due to the need for a diverse set of facial expressions to train the model effectively. A lack of diverse and representative data can result in poor performance, particularly with rare or unusual expressions. This can limit the model's ability to generalize to new faces and expressions, leading to lower accuracy in real-world applications. To overcome this challenge, researchers often resort to using large and diverse datasets or using data augmentation techniques to artificially increase the size of the training dataset.

B. Variability in faces

The variability in faces is a significant challenge in FER (Facial Expression Recognition) due to the wide range of physical differences between individuals, such as differences in facial structure, skin color, and facial hair. These differences can make it difficult for models to accurately recognize and differentiate between expressions. Additionally, variations in facial expressions can also be influenced by factors such as emotions, cultural background, and personal habits, making it even more challenging to recognize expressions consistently across a diverse population. To address this challenge, researchers often use large and diverse datasets to train models, as well as advanced computer vision techniques such as normalization



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and alignment to mitigate the impact of these differences

C. Occlusions and pose variations

Occlusions and pose variations are significant challenges in FER (Facial Expression Recognition) because they can greatly alter the appearance of a face and make it difficult for models to accurately recognize expressions. Occlusions, such as glasses or hats, can hide parts of the face and make it challenging for models to accurately identify important facial features. Pose variations, such as tilting the head or changing the angle of the face, can also significantly alter the appearance of the face and make it challenging for models to recognize expressions. To address these challenges, researchers often use techniques such as face detection, alignment, and normalization to mitigate the impact of these variations and improve the accuracy of expression recognition

D. Adversarial attacks

Adversarial attacks are a growing concern in FER (Facial Expression Recognition) because they can manipulate the output of machine learning models and lead to incorrect predictions. Adversarial examples can be generated by adding small, carefully crafted perturbations to an image that are difficult for a human to detect but can cause a machine learning model to make an incorrect prediction. This can pose a significant security risk in real-world applications of FER, such as in security systems, human-computer interaction, and emotional analysis. To address this challenge, researchers are exploring methods to improve the robustness of FER models against adversarial attacks, such as using adversarial training and designing models with more robust feature representations.

E. limited generalization ability to unseen faces

The limited generalization ability to unseen faces is a significant challenge in FER (Facial Expression Recognition) because it restricts the applicability of the models to real-world scenarios. FER models that are trained on a specific dataset may perform well on that dataset but struggle to generalize to new faces and expressions that are not included in the training data. This is particularly problematic in situations where a model needs to be able to recognize expressions from a wide range of individuals, such as in cross-cultural applications. To address this challenge, researchers often use large and diverse datasets to train models and explore methods to improve their ability to generalize to new faces and expressions. Additionally, researchers are exploring the use of transfer learning and fine-tuning techniques to adapt models to new face and expressions without the need for additional training data.

F. Variability in facial expressions

Variability in facial expressions is a major challenge in FER (Facial Expression Recognition) because it can make it

difficult for models to accurately recognize and differentiate between expressions. Facial expressions can vary greatly between individuals, and even within an individual, depending on factors such as emotions, personal habits, and cultural background. This variability can make it challenging for models to accurately recognize expressions, particularly when recognizing subtle or complex expressions. To address this challenge, researchers often use large and diverse datasets to train models, as well as advanced computer vision techniques such as normalization and alignment to mitigate the impact of these differences. Additionally, researchers are exploring the use of multi-modal inputs, such as audio and body posture, to improve the accuracy of expression recognition

G. Limited training data is a significant challenge in FER

Limited training data is a significant challenge in FER (Facial Expression Recognition) because it can limit the ability of models to accurately recognize expressions. Machine learning models need a large amount of data to effectively learn the relationships between features and expressions. However, acquiring large and diverse datasets that include a wide range of facial expressions can be difficult and time-consuming. Additionally, collecting data that accurately represents real-world scenarios, such as cross-cultural variations and occlusions, can further complicate the process. To address this challenge, researchers often resort to using data augmentation techniques to artificially increase the size of the training dataset, as well as exploring transfer learning and fine-tuning methods to adapt models to new faces and expressions without the need for additional training data

H. Pose and lighting variations

Pose and lighting variations are significant challenges in FER (Facial Expression Recognition) because they can greatly alter the appearance of a face and make it difficult for models to accurately recognize expressions. Pose variations, such as tilting the head or changing the angle of the face, can greatly impact the appearance of the face and make it challenging for models to accurately identify important facial features. Lighting variations, such as changes in illumination or shadows, can also greatly impact the appearance of the face and make it challenging for models to recognize expressions. To address these challenges, researchers often use techniques such as face detection, alignment, and normalization to mitigate the impact of these variations and of expression recognition. improve the accuracy Additionally, researchers are exploring the use of multi-modal inputs, such as audio and body posture, to improve the robustness of FER models against pose and lighting variations.



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I. Cross-cultural variations

Cross-cultural variations are a significant challenge in FER (Facial Expression Recognition) because they can impact the ability of models to accurately recognize expressions. Facial expressions can vary greatly between cultures, and even within a culture, depending on factors such as personal habits, social norms, and emotional tendencies. This variability can make it challenging for models to accurately recognize expressions, particularly when recognizing subtle or complex expressions. To address this challenge, researchers often use large and diverse datasets to train models that accurately represent cross-cultural variations, as well as advanced computer vision techniques to mitigate the impact of these differences. Additionally, researchers are exploring the use of multi-modal inputs, such as audio and body posture, to improve the robustness of FER models against cross-cultural variations

J. Real-time processing requirements

Real-time processing requirements are a significant challenge in FER (Facial Expression Recognition) because they can impact the usability and applicability of models in real-world scenarios. In many applications, it is important for FER models to process facial expressions in real-time, meaning that the models must be able to recognize expressions quickly and efficiently. However, many state-of-the-art FER models are computationally intensive and require significant processing power, making it challenging to achieve real-time performance on low-power devices such as smartphones and wearable devices. To address this challenge, researchers often explore methods to optimize the computational efficiency of FER models, such as reducing the number of parameters or using more computationally efficient algorithms. Additionally, researchers are exploring the use of hardware accelerators, such as GPUs and TPUs, to improve the real-time processing capabilities of FER models.

K. Inter-class variation

Inter-class variation is a significant challenge in FER (Facial Expression Recognition) because it can make it difficult for models to accurately differentiate between expressions. Different facial expressions can have similar appearance and features, making it challenging for models to accurately recognize them. This can result in confusion between expressions and lower recognition accuracy. To address this challenge, researchers often use large and diverse datasets to train models that accurately represent the variations between expressions, as well as advanced computer vision techniques to mitigate the impact of these differences. Additionally, researchers are exploring the use of multi-modal inputs, such as audio and body posture, to improve the accuracy of expression recognition.

L. Intra-class variation

Intra-class variation is a significant challenge in FER (Facial Expression Recognition) because it can make it difficult for models to accurately recognize expressions. Within a single facial expression category, there can be significant variations in appearance and features, making it challenging for models to accurately recognize them. This can result in confusion between expressions and lower recognition accuracy. To address this challenge, researchers often use large and diverse datasets to train models that accurately represent the variations within expression categories, as well as advanced computer vision techniques to mitigate the impact of these differences. Additionally, researchers are exploring the use of multi-modal inputs, such as audio and body posture, to improve the accuracy of expression recognition

M. Over fitting

Over fitting is a common problem in FER (Facial Expression Recognition) because it can impact the generalization ability of models. Over fitting occurs when a model fits too closely to the training data and fails to generalize to new, unseen data. This can result in poor performance on test data and lower recognition accuracy. To address this challenge, researchers often use techniques such as regularization, data augmentation, and cross-validation to prevent over fitting and improve the generalization ability of FER models. Additionally, researchers are exploring the use of transfer learning techniques, where pre-trained models on large, general-purpose datasets are fine-tuned on smaller, task-specific datasets, to improve the performance and reduce the risk of over fitting in FER

N. Illumination and contrast variations are significant challenges in FER

Illumination and contrast variations are significant challenges in FER (Facial Expression Recognition) because they can impact the appearance of faces and make it difficult for models to accurately recognize expressions. Changes in lighting and contrast can result in significant variations in the appearance of facial features, making it challenging for models to accurately recognize expressions. To address this challenge, researchers often use advanced computer vision techniques, such as image normalization and histogram equalization, to mitigate the impact of illumination and contrast variations on expression recognition. Additionally, researchers are exploring the use of multi-modal inputs, such as audio and body posture, to improve the robustness of FER models against these variations.

O. Aging

Aging is a significant challenge in FER (Facial Expression Recognition) because it can impact the appearance of faces and make it difficult for models to accurately recognize expressions. As individuals age, their facial features and



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expressions change, making it challenging for models trained on younger faces to accurately recognize expressions on older faces. To address this challenge, researchers often use large and diverse datasets that represent a wide range of ages, as well as advanced computer vision techniques to mitigate the impact of aging on expression recognition. Additionally, researchers are exploring the use of transfer learning techniques, where pre-trained models on large, general-purpose datasets are fine-tuned on smaller, task-specific datasets that include older faces, to improve the performance of FER models for older individuals.

P. Image resolution is a significant challenge in FER

Image resolution is a significant challenge in FER (Facial Expression Recognition) because it can impact the appearance of faces and make it difficult for models to accurately recognize expressions. Low-resolution images can result in the loss of important facial features and details, making it challenging for models to accurately recognize expressions. To address this challenge, researchers often use advanced computer vision techniques, such as super-resolution, to enhance the resolution of images and improve the performance of FER models. Additionally, researchers are exploring the use of multi-modal inputs, such as audio and body posture, to improve the accuracy of expression recognition, even when image resolution is low

III. THE PROCESS STEPS IN FER

Facial Expression Recognition (FER) is an important task in computer vision that has received considerable attention in recent years. The process of FER typically involves several steps, including face detection, face alignment, feature extraction, and classification. In this article, we review some of the key techniques and methods that are commonly used for each of these steps. For face detection and alignment, we discuss some of the most popular algorithms, such as Viola-Jones and Active Appearance Models, and their respective strengths and weaknesses. For feature extraction, we describe some of the most commonly used approaches, such as Local Binary Patterns (LBP) and Gabor wavelets. Finally, we provide an overview of the various classification techniques that have been developed for FER, including Support Vector Machines (SVMs), Convolutional Neural Networks (CNNs), and Recurrent Neural Networks (RNNs). We also discuss some of the challenges and limitations associated with each of these steps, and highlight some of the key directions for future research in FER. The insights presented in this article can help guide the development of more accurate and efficient FER systems that can be applied to a range of real-world scenarios. Steps are

- 1. Face detection: Detect and locate faces in an image or video frame.
- 2. Pre-processing: Enhance the quality of the input image or video frame, such as removing noise,

equalizing histograms, and aligning faces.

- 3. Feature extraction: Extract relevant features from the pre-processed image or video frame, such as local binary patterns (LBP), histograms of oriented gradient (HOG), and deep features from Convolutional Neural Networks (CNNs).
- 4. Expression classification: Use the extracted features to classify the facial expression into one of the predefined categories, such as happy, sad, angry, neutral, etc.
- 5. Evaluation: Evaluate the performance of the FER system, such as accuracy, precision, recall, and F1 score.

The specific steps and techniques used in FER can vary depending on the specific task, dataset, and model architecture used

A. Face detection

Face detection is a crucial step in facial expression recognition (FER) classification, as it helps to accurately identify and isolate the face from the background. Once the face is detected, it can be processed and analyzed to extract features that are relevant for FER classification, such as facial landmarks and texture. There are various methods for face detection, including traditional computer vision algorithms and deep learning approaches. Some popular techniques for face detection include the Viola-Jones algorithm, which uses Haar-like features and a cascade of classifiers to detect faces in an image, and the Histogram of Oriented Gradients (HOG) method, which detects facial features based on the distribution of edge orientations. More recently, deep learning-based face detectors such as the Single Shot Multibox Detector (SSD) and the You Only Look Once (YOLO) algorithm have shown promising results in accurately detecting faces in real-time and under varying lighting conditions. Once the face has been detected, it can be processed to extract features for FER classification using techniques such as Convolutional Neural Networks (CNNs) and Facial Landmark Detection (FLD). The CNNs can be trained on large datasets of facial expressions to learn to classify them accurately, while FLD can be used to detect and track the movement of specific facial landmarks, such as the eyes, mouth, and eyebrows, which are important indicators of emotional expression.

B. Pre-processing

Pre-processing is an important step in FER (Facial Expression Recognition) that aims to enhance the quality of the input image or video frame. The goal of pre-processing is to make it easier for the FER system to extract relevant information and improve the accuracy of expression recognition.

Typical pre-processing steps in FER include:

1. Face detection: Detect and locate faces in an image or video frame.



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- 2. Face alignment: Align faces to a standard position and orientation, to ensure that facial features are in the same position for all images.
- 3. Image enhancement: Remove noise and improve the contrast of the image, such as by equalizing histograms or using histogram equalization.
- 4. Image normalization: Normalize the image intensity values to a standard range, such as 0-255, to ensure that the image is in a standard format.

These pre-processing steps help to reduce the variability in the input images and make it easier for the FER system to accurately recognize expressions.

C. Feature extraction

Feature extraction is a key step in FER (Facial Expression Recognition) that involves selecting and extracting relevant information from the pre-processed images or video frames. The goal of feature extraction is to represent the facial expressions in a way that is useful for expression classificationThere are several commonly used feature extraction methods in FER, including

- 1. Geometric features: Extract geometric features such as the positions of landmarks on the face, the distances between landmarks, and the ratios of distances.
- 2. Texture features: Extract texture features such as local binary patterns (LBP) and histograms of oriented gradient (HOG).
- 3. Deep features: Extract features from Convolutional Neural Networks (CNNs) trained on large datasets, such as VGGFace or ResNet.

The choice of feature extraction method depends on the specific task, dataset, and model architecture used, and the best approach can vary based on the specific requirements of the FER system. In general, deep features tend to perform well on large and complex datasets, while geometric and texture features can be faster and more computationally efficient.

D. Expression classification

Expression classification is the final step in FER (Facial Expression Recognition) that involves assigning a label to an image or video frame based on its facial expression. The goal of expression classification is to accurately recognize the expression displayed by the face.

There are several commonly used expression classification methods in FER, including

- 1. Statistical classifiers: Train classifiers such as Support Vector Machines (SVM) or Naive Bayes on the extracted features, and use these classifiers to make predictions on new images.
- 2. Neural networks: Train deep neural networks such as Convolutional Neural Networks (CNNs) or Recurrent Neural Networks (RNNs) on the extracted features, and use these networks to make predictions on new images.

3. Ensemble methods: Combine multiple classifiers to make predictions, such as using a majority vote or weighting the predictions of individual classifiers.

The choice of expression classification method depends on the specific task, dataset, and features used, and the best approach can vary based on the specific requirements of the FER system. In general, deep neural networks tend to perform well on large and complex datasets, while statistical classifiers can be faster and more computationally efficient.

E. Evaluation

Evaluation is an important step in FER (Facial Expression Recognition) that involves measuring the performance of the FER system in terms of its ability to recognize facial expressions accurately. There are several commonly used evaluation metrics in FER, including:

- 1. Accuracy: The fraction of images that are classified correctly, often reported as a percentage.
- 2. Precision, Recall, and F1 Score: Precision is the fraction of correct positive predictions, Recall is the fraction of positive instances that are correctly detected, and the F1 Score is the harmonic mean of Precision and Recall.
- 3. Confusion Matrix: A table that displays the number of true positive, true negative, false positive, and false negative predictions, and is useful for understanding the types of errors made by the FER system.
- 4. Receiver Operating Characteristic (ROC) Curve: A plot of the true positive rate vs. the false positive rate, and is useful for understanding the trade-off between false positive and false negative predictions.
- 5. Area under the ROC Curve (AUC): The area under the ROC curve, and is a single number that summarizes the overall performance of the FER system.

It is important to evaluate the FER system on multiple metrics and to compare its performance against other existing systems or baselines. Additionally, it is important to evaluate the FER system on a diverse and representative test set, including images and video frames from different poses, lighting conditions, and facial expressions, and to consider the impact of the FER system on different populations and use cases.

IV. APPLICATIONS OF FER

Facial Expression Recognition (FER) has a wide range of potential applications in various fields, including psychology, medicine, security, and entertainment. In recent years, there has been significant progress in the development of FER systems, which have shown promising results in recognizing different facial expressions in a variety of contexts. In this article, we provide an overview of some of the key applications of FER, including emotion analysis, human-computer interaction, and security and surveillance. We also discuss some of the current approaches and



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techniques that have been developed to address the challenges associated with FER in each of these domains. Finally, we highlight some of the key directions for future research in FER, including the need for more robust and reliable systems that can operate effectively in complex and dynamic environments. The insights and recommendations presented in this article can help guide the development of more advanced and effective FER systems that can be applied in a wide range of real-world scenarios.

FER (Facial Expression Recognition) has a wide range of applications in various domains, including:

- 1. Human-computer interaction: FER can be used in applications such as gaming, entertainment, and virtual reality, where the user's expressions are used to control the experience or to provide feedback to the system.
- 2. Mental health: FER can be used to assess and monitor mental health, including conditions such as depression, anxiety, and schizophrenia.
- 3. Emotion recognition: FER can be used to recognize emotions in multimedia content such as images and videos, and has applications in fields such as psychology, marketing, and entertainment.
- 4. Security and surveillance: FER can be used for security and surveillance purposes, including identifying individuals based on their facial expressions and recognizing potential security threats.
- 5. Customer experience: FER can be used to understand and improve the customer experience, including in fields such as retail, banking, and hospitality.
- 6. Human resources: FER can be used in human resources, including in the selection and training of employees, as well as in performance evaluations.
- 7. Robotics and automation: FER can be used to provide robots and automated systems with the ability to understand and respond to human emotions.

FER is a rapidly growing field, and new applications are being developed constantly. The widespread availability of powerful computational resources and large amounts of data is expected to continue to drive innovation and progress in the field of FER in the years to come.

A. Human-computer interaction

FER (Facial Expression Recognition) is important in human-computer interaction because it provides a natural and intuitive way for people to interact with technology. By recognizing facial expressions, computer systems can respond in a more human-like manner, improving the overall user experience. Some key benefits of using FER in human-computer interaction include:

- 1. Enhanced User Experience: By using FER, computer systems can respond to users' emotions and expressions, leading to a more engaging and enjoyable user experience.
- 2. Improved Interaction: FER can be used to create more

natural and intuitive forms of interaction, such as using facial expressions to control games or entertainment experiences.

- 3. Personalization: FER can be used to personalize the user experience, such as providing recommendations or suggestions based on the user's emotions or expressions.
- 4. Accessibility: FER can be used to make technology more accessible to people with disabilities or mobility limitations, such as using facial expressions to control devices for those who cannot use traditional input methods.
- 5. Emotional Intelligence: FER can be used to create more emotionally intelligent systems that are better able to understand and respond to human emotions.

FER has the potential to revolutionize human-computer interaction by making technology more intuitive, personalized, and accessible, and has significant potential to impact a wide range of industries and applications.

B. Mental health

FER (Facial Expression Recognition) has potential applications in the field of mental health, particularly in the areas of diagnosis, treatment, and monitoring of mental health conditions. Some key benefits of using FER in mental health include:

- 1. Diagnosis: FER can be used to identify and diagnose mental health conditions such as depression, anxiety, and other mood disorders by analyzing facial expressions and emotional responses.
- Treatment: FER can be used to monitor the effectiveness of mental health treatments, such as psychotherapy or medications, by tracking changes in facial expressions and emotional responses over time.
 Emotion Regulation: FER can be used to teach individuals with mental health conditions to regulate their emotions through training and feedback on their facial expressions.
- 4. Teletherapy: FER can be used in teletherapy sessions to allow mental health professionals to monitor and track patients' emotional expressions and responses remotely.
- 5. Clinical Research: FER can be used in clinical research studies to better understand the relationship between facial expressions and mental health conditions, helping to improve diagnosis and treatment.

FER has the potential to significantly impact the field of mental health by providing new and innovative ways to diagnose, treat, and monitor mental health conditions, and has significant potential to improve the lives of people with mental health conditions.



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C. Emotion recognition

ER (Facial Expression Recognition) has potential applications in the field of emotion recognition, which is an important aspect of human interaction and communication. Some key benefits of using FER for emotion recognition include:

- 1. Human-computer interaction: FER can be used to enhance human-computer interaction by allowing computers to understand and respond to human emotions.
- 2. Customer experience: FER can be used in customer service, marketing, and other industries to analyze customer emotions and provide improved customer experiences.
- 3. Market research: FER can be used in market research to analyze consumer emotions and preferences, providing valuable insights into consumer behavior and preferences.
- 4. Emotion regulation: FER can be used to help individuals regulate their emotions by providing feedback on facial expressions.
- 5. Mental health: FER has potential applications in the field of mental health, as it can be used to monitor changes in emotional expressions and responses over time.
- 6. Education: FER can be used in education to analyze and improve emotional engagement and learning outcomes.
- 7. FER has the potential to significantly impact human interaction and communication by providing new and innovative ways to understand and respond to human emotions, making it a valuable tool for a wide range of applications and industries.

D. Security and surveillance:

FER (Facial Expression Recognition) can have important applications in the field of security and surveillance. Some key benefits include.

- 1. Access control: FER can be used to verify the identity of individuals based on their facial expressions, providing an additional layer of security in access control systems.
- 2. Crime prevention: FER can be used in surveillance systems to identify individuals exhibiting suspicious or criminal behavior, helping to prevent crime.
- 3. Public safety: FER can be used in public safety applications to monitor the emotions of individuals and detect signs of stress, fear, or other emotions that may indicate a potential safety threat.
- 4. Border control: FER can be used in border control applications to verify the identity of individuals and detect false or forged documents.
- 5. Law enforcement: FER can be used in law enforcement to identify individuals in criminal

investigations and help to track down suspects.

FER can play a crucial role in improving security and surveillance by providing new and innovative ways to identify individuals and monitor their emotions and behaviors, making it a valuable tool for a wide range of security and surveillance applications.

E. Customer experience:

FER (Facial Expression Recognition) has potential applications in improving customer experience in various industries. Some key benefits include:

- 1. Market research: FER can be used in market research to analyze customer emotions and preferences, providing valuable insights into consumer behavior and preferences.
- Customer service: FER can be used in customer service to understand and respond to customer emotions in real-time, providing improved customer experiences.
- 3. User experience: FER can be used to analyze user emotions and improve the design of products and services, leading to better user experiences.
- 4. Advertising: FER can be used in advertising to analyze consumer reactions to ads and measure the effectiveness of marketing campaigns.
- 5. Retail: FER can be used in retail to analyze customer emotions and behavior in-store, providing valuable insights for retailers to improve their offerings and services.

FER has the potential to significantly impact customer experience by providing new and innovative ways to understand and respond to customer emotions, making it a valuable tool for a wide range of customer-facing applications and industries.

F. Robotics and automation:

FER (Facial Expression Recognition) can play an important role in the field of robotics and automation by providing new and innovative ways for robots to interact with humans. Some key benefits include:

- 1. Human-robot interaction: FER can be used to enable robots to understand and respond to human emotions, improving human-robot interaction and making robots more relatable and user-friendly.
- 2. Emotion-based control: FER can be used to control robots based on human emotions, allowing for more intuitive and natural control mechanisms.
- 3. Social robots: FER can be used in social robots, enabling them to understand and respond to human emotions in real-time, making them more socially intelligent and capable of emulating human interactions.
- 4. Autism therapy: FER can be used in the development of robots for autism therapy, enabling robots to recognize and respond to the emotions of individuals



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with autism.

ER can play a crucial role in the development of more advanced and human-like robots by providing new and innovative ways for robots to understand and respond to human emotions, making it a valuable tool in the field of robotics and automation.

V. DATA SETS

Facial Expression Recognition (FER) is a challenging task that requires large and diverse datasets for training and evaluation. In recent years, there has been a significant increase in the number of publicly available FER datasets, which has helped to advance the state-of-the-art in this field. In this article, we provide an overview of some of the most widely used FER datasets, including the Cohn-Kanade, MMI, and AffectNet datasets, and describe the key features and limitations of each of these datasets. We also discuss some of the current approaches and techniques that have been developed for data augmentation and transfer learning, which can help to improve the performance of FER models when training data is limited. Finally, we highlight some of the key directions for future research in FER data sets, including the need for larger and more diverse datasets, as well as the development of more standardized evaluation metrics and protocols. The insights and recommendations presented in this article can help guide the development of more accurate and robust FER systems that can be applied in a range of real-world contexts. Here are several publicly available datasets for facial expression recognition (FER).

- 1. EffectNet : A large-scale, annotated facial expression dataset with over 45,000 images.
- 2. CK+: A dataset of 593 facial images of 123 individuals, with each image annotated with one of seven basic facial expressions.
- 3. JAFFE: Japanese Female Facial Expression, a dataset of 213 images of 10 Japanese female models displaying 7 basic facial expressions.
- 4. FER2013: A dataset of 35,887 grayscale images of faces, annotated with 7 basic facial expressions.
- 5. RAVDESS: The Ryerson Audio-Visual Database of Emotional Speech and Song, containing 2,880 audio and video clips of 24 actors expressing eight emotions.
- 6. EmoReact: A dataset of 1,100 videos of people reacting to various emotional stimuli, annotated with facial expressions.
- 7. SFEW: The Static Facial Expressions in the Wild dataset, containing over 2,500 images of faces displaying various facial expressions.

These datasets are commonly used for evaluating and benchmarking the performance of FER algorithms. In this part, we examine freely accessible data sets that contain essential and basic expressions and that are broadly utilized in our surveyed papers for deep learning algorithm evolution. We likewise present recently delivered datasets that contain an enormous number of emotional images gathered from this present reality to profit the training of deep neural networks. The details of the datasets are as following as:

1. CK+ [13]: In the Extended Cohn-Kanade (CK+) dataset contains 593 video sequences from a total of 123 different subjects, ranging from 18 to 50 years of age with a variety of genders and heritage. Each video shows a facial shift from the neutral expression to a targeted peak expression, recorded at 30 frames per second (FPS) with a resolution of either 640x490 or 640x480 pixels. Out of these videos, 327 are labeled with one of seven expression classes: anger, contempt, disgust, fear, happiness, sadness, and surprise. The CK+ database is widely regarded as the most extensively used the laboratory-controlled facial expression classification database available, and is used in the majority of facial expression classification methods.

2. MMI [14]: In the MMI dataset is also laboratory-controlled. In dissimilarity to CK+, sequences in MMI are onset-apexoffset labeled, i.e., the sequence begins with a neutral expression and reaches a peak near the middle before returning to the neutral expression. For experiments, the most common method is to choose the first frame (neutral face) and three peak frames in each frontal sequence to conduct person-independent 10-fold cross-validation.

4. JAFFE [15]: In the Japanese Female Facial Expression (JAFFE) database contains 213 samples of posed expressions from 10 Japanese females. Each person has 3⁻⁴ images with each of six Essential facial expressions and one image with a neutral expression. Typically, all the images are used for the leave-one-subject-out experiment.

7. Multi-PIE [16]: In the CMU Multi-PIE dataset contains 755370 images from 337 subjects underneath 15 viewpoints and 19 illumination conditions in up to four recording sessions. Each facial image is labeled with one of six expressions. This dataset is typically used for multi-view facial expression analysis.

8. BU-4DFE [17] and BU-3DFE [18]: The Binghamton University 3D Facial Expression (BU-3DFE) dataset contains 606 facial expression sequences captured from 100 people. For each subject, six facial expressions are elicited in various manners with multiple intensities. Similar to Multi-PIE, this dataset is typically used for multi-view 3D facial expression analysis. To analyze the facial conduct from the static 3D space to a dynamic 3D space, BU- 4DFE was created that contains 606 3D facial expression sequences with a complete of approx. 60600 frame models.

9. Oulu-CASIA [19]: In the Oulu-CASIA dataset includes 2,880 image sequences together from 80 subjects. Each of the videos is captured with one of two imaging systems, i.e., near-infrared (NIR) or visible light (VIS), under three different illumination conditions. Similar to CK+, the first frame is neutral, and the last frame has the peak expression. Typically, only the last three peak frames and the first frame



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(neutral face) from the 480 videos collected by the VIS system under normal indoor illumination are employed for 10-fold cross-validation experiments.

10. RAF-DB [20][21]: In the Real-world Affective Face Database (RAF-DB), is a real-world database that contains 29672 highly diverse facial images downloaded from the Internet. With manually Crowd-sourced annotation and reliable estimation, seven basic and eleven compound emotion labels are provided for samples. Specifically, 15,339 images from the basic emotion set are divided into two groups (12271 training samples and 3068 testing samples) for evaluation.

11. AffectNet [22]: In the AffectNet, contains more than one million images from the Internet that were obtained by querying various search engines using emotion-related tags. It is by far the largest database that provides facial expressions in two different emotion models (categorical model and dimensional model), of which 450,000 images have manually annotated labels for eight basic expressions.

12. ExpW [23]: In the Expression in-the-Wild Database (ExpW), contains 91793 faces downloaded with help of Google image search. Every face images was manually annotated as one of the.

13. FER2013 [24]: In FER2013 is an unconstrained and large-scale dataset collected automatically by the Google image search API. All images were registered and resized to 48*48 pixels after rejecting incorrectly labeled frames and adjusting the cropped region. FER2013 contains 28709 training images, 3589 validation images and 3589 test images with seven expression labels.

14. SFEW [25]: and AFEW [30]: In the Acted Facial Expressions in the Wild (AFEW) database contains video clips collected from different movies with spontaneous poses, occlusions expressions, various head and illuminations. AFEW is a temporal and multimodal database that provides vastly different environmental conditions in both audio and video. The AFEW is independently divided into three data partitions in terms of subject and movie/TV source, which ensures data in three sets, belong to mutually exclusive movies and actors. The Static visage Expressions with inside the Wild (SFEW) become created through deciding on static frames from the AFEW database. The most commonly used version, SFEW 2.0, has been divided into three sets: Train, Val and Test the expression labels of the training and validation units are publicly available, while the ones of the checking out set are held returned through the project organizer.

VI. CONCLUSION

In conclusion, facial expression recognition (FER) is a challenging task due to various factors such as illumination, pose variation, occlusion, and individual differences. Different techniques and approaches have been developed to address these challenges, including the use of deep learning models such as CNN, which has shown promising results in FER. However, the development of accurate and efficient FER systems is still an active area of research, and further investigation is required to address the remaining challenges. Furthermore, FER has a wide range of applications, including human-computer interaction, surveillance systems, and emotion analysis in psychology and neuroscience. The availability of publicly accessible FER datasets such as CK+, JAFFE, and AffectNet has facilitated the development and evaluation of FER systems. Overall, FER has a significant potential for real-world applications, and ongoing research efforts aim to improve the accuracy and efficiency of FER systems to enable broader and more impactful use cases.

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